



## Law of Financial Markets

Banking Regulation	Securitization & Structured Finance
<p><i>Banking Law and Regulation</i> by Michael P. Malloy Publisher: Aspen Publishers, a Wolters Kluwer business Online Location: <a href="#">Westlaw</a> Database Code: BKLAR</p>	<p><i>Securitization, Structured Finance and Capital Markets</i> by S.L. Schwarcz, B.A. Markell and L.L. Broome Publisher: LexisNexis Library Location: KF1050 .S355 2004</p>
<p><i>Banking Law</i> Publisher: LexisNexis/Matthew Bender Online Location: <a href="#">Lexis</a> Database Code: BANKNG;BANKLW</p>	<p><i>Structured Finance: A Guide to the Principles of Asset Securitization</i> Publisher: Practising Law Institute Online Location: <a href="#">Lexis</a> Database Code: PLI;STRFIN Online Location: <a href="#">Westlaw</a> Database Code: PLIREF-STRFIN</p>
<p><i>Banking Regulation in the United States</i> By Carl Felsenfeld Publisher: Juris Publishing Library Location: KF974 .F45 2006</p>	<p><i>Securitization of Financial Assets</i> Publisher: Aspen Publishers, a Wolters Kluwer business Online Location: <a href="#">Westlaw</a> Database Code: SFINA</p>
<p><i>Federal Banking Law and Regulations: a Handbook for Lawyers</i> by Harding de C. Williams Library Location: HG1725 .P33 2004</p>	<p><i>Structured Finance : on from the Credit Crunch, the Road to Recovery</i> by Jan Job de Vries Robbé Library Location: K1331 .V76 2009</p>
Financing & Financial Products	Reform/Reports
<p><i>Financial Product Fundamentals: A Guide for Lawyers</i> Publisher: Practising Law Institute Online Location: <a href="#">Westlaw</a> Database Code: PLIREF-FINP Online Location: <a href="#">Lexis</a> Database Code: PLI;PLIFPF</p>	<p><i>Financial Reform a Framework for Financial Stability</i> Group of Thirty Library Location: HG3881 .F536 2009 URL: <a href="http://www.group30.org/pubs/pub_1460.htm">http://www.group30.org/pubs/pub_1460.htm</a></p>
<p><i>Regulating Finance: Balancing Freedom and Fisk</i> by Tommaso Padoa-Schioppa Library Location: HG1725 .P33 2004</p>	<p><i>Who Regulates Whom? An Overview of U.S. Financial Supervision</i> (2009) Congressional Research Service Report URL: <a href="http://assets.opencrs.com/rpts/R40249_20091214.pdf">http://assets.opencrs.com/rpts/R40249_20091214.pdf</a></p>
<p><i>Mortgage-Backed Securities</i> Publisher: Thomson Reuters/West Online Location: <a href="#">Westlaw</a> Database Code: MORTSEC</p>	<p><i>Key Issues in Derivatives Reform</i> (2009) Congressional Research Services Report URL: <a href="http://assets.opencrs.com/rpts/R40965_20091201.pdf">http://assets.opencrs.com/rpts/R40965_20091201.pdf</a></p>
<p><i>Hedge Funds &amp; Other Private Funds: Regulation &amp; Compliance</i> Publisher: Thomson Reuters/West Online Location: <a href="#">Westlaw</a> Database Code: SECHEDGE</p>	<p><i>Financial Regulatory Reform: a New Foundation: Rebuilding Financial Supervision and Regulation</i> U.S. Department of the Treasury Library Location: KF974 .F556 2009 URL: <a href="http://financialstability.gov/docs/regs/FinalReport_web.pdf">http://financialstability.gov/docs/regs/FinalReport_web.pdf</a></p>
<p><i>Asset Based Financing: A Transactional Guide</i> Publisher: LexisNexis/Matthew Bender Online Location: <a href="#">Lexis</a> Database Code: BANKNG;ASSETF</p>	<p><i>Statement on Reforming the Role of The Statistical Ratings Organizations in the Securitization process</i> (2008) Economists Roundtable URL: <a href="http://fic.wharton.upenn.edu/fic/">http://fic.wharton.upenn.edu/fic/</a></p>
<p><i>Capital markets, derivatives and the law</i> by Alan N. Rechtschaffen Publisher: Oxford University Press Library Location: KF1070 .R42 2009</p>	<p><i>The Role of Credit Rating Agencies in the Structured Finance Market</i> - Hearing before the Subcommittee on Capital Markets, Insurance, and Government Sponsored Enterprises -September 27, 2007 URL: <a href="http://purl.access.gpo.gov/GPO/LPS90918">http://purl.access.gpo.gov/GPO/LPS90918</a></p>

## Online Research

**Lexis:** Activate *Legal tab*, browse *Area of Law - By Topic*, and select *Banking & Financial Services* to view databases organized under this topic. You may also search the Lexis database directory at: <http://w3.nexis.com/sources/>

**Westlaw:** Click *Directory* from the top menu options, click *Topical Materials by Area of Practice*, and select topic *Finance & Banking* to view databases organized under this topic. You may also search the Westlaw database directory at <http://directory.westlaw.com/>

A selection of databases by Mabie Law Librarians is available at:  
<http://www.law.ucdavis.edu/library/databases.html>

A more complete list of databases licensed to all U.C. students and faculty ("CDL") is available at:  
<http://www.lib.ucdavis.edu/ul/research/databases/?>

**BloombergLaw:** contact Marissa Andrea for access instructions: [meandrea@ucdavis.edu](mailto:meandrea@ucdavis.edu) (*Law of Financial Markets* class only)

## Journals & Law Reviews

To search for law reviews and other legal journals and publications:

Lexis Database Code: 2NDARY; ALLREV

Westlaw Database Code: JLR

HeinOnline\* <http://heinonline.org/HOL/Welcome> or access from:  
<http://www.law.ucdavis.edu/library/databases.html>

LegalTrac\* <http://infotrac.galegroup.com/itweb/davi79697?db=LT> or access from:  
<http://www.law.ucdavis.edu/library/databases.html>

Other non-legal databases:

**Business Source Complete\***: <http://uclibs.org/PID/126938>

Over 1,200 scholarly business journals.

**EconLit\*** - <http://ovidsp.ovid.com/ovidweb.cgi?T=JS&PAGE=main&D=econ>  
American Economic Association's electronic bibliographic database of economic literature.

**JSTOR\***: <http://www.jstor.org/>

Full-text access to back files of 773 important scholarly journals in nearly 50 disciplines.

**SSRN** - <http://www.ssrn.com/>

Abstracts on over 276,500 scholarly working papers and *forthcoming* papers.

Current events & Newspapers:

**Major U.S. newspapers & Magazines:** search **Newsbank\*** - <http://www.uclibs.org/PID/99649>

**New York Times:** search **Lexis-Nexis Academic\***

\* = *must access database from law school network or VPN.*

## Research tips

(1) Does the U.C. provide you with online access to a particular journal or law review via CDL\*\*?

You may check by searching **Electronic Journals A-Z** at

<http://www.lib.ucdavis.edu/ul/research/ejournals/>

\*\*California Digital Library is the licensing body for U.C. libraries.

(2) What are **UC-eLinks**?

When the full-text is available online, click **UC-eLinks** button to access full text. When the article's full-text is not available, **UC-eLinks** will suggest you search an online catalog for a print copy.

(3) To locate recent reports generated by government financial reform efforts:

Search for report title at GPO Access:  
[www.gpoaccess.gov](http://www.gpoaccess.gov)

Search for CRS /Congressional Research Service Report title at: <http://openocrs.com/>

*Open CRS* provides a limited, yet valuable, collection.

## Web Sites

Bank for International Settlements (BIS) and Basel standards - <http://www.bis.org/forum/research.htm>

Commodity Futures Trading Commission (CFTC) - <http://www.cftc.gov/>

Federal Deposit Insurance Corporation (FDIC) - <http://www.fdic.gov/>

Federal Financial Institutions Examination Council (FFIEC) - <http://www.ffiec.gov/>

Federal Housing Finance Agency (FHFA) - <http://www.fhfa.gov/>

Federal Reserve System—<http://www.federalreserveonline.org/>

FRED—Economic Research—<http://research.stlouisfed.org/fred2/>

Financial Accounting Standards Board (FASB) - <http://www.fasb.org/home>

House Committee on Financial Services—<http://financialservices.house.gov/>

International Swaps and Derivatives Association (ISDA) - <http://www.isda.org/>

National Bureau of Economic Research (NBER) - <http://www.nber.org>

National Credit Union Administration (NCUA) - <http://www.ncua.gov/>

National Economic Council - <http://www.whitehouse.gov/administration/eop/nec>

Office of the Comptroller of the Currency (OCC) - <http://www.occ.treas.gov/>

Office of Thrift Supervision (OTS) - <http://www.ots.treas.gov/>

Senate Committee on Banking, Housing and Urban Affairs—<http://banking.senate.gov/public/>

Secretary of the Treasury—<http://www.ustreas.gov/>

## Nutshells/Study Aids

*Banking and Financial Institutions Law in a Nutshell*

KF975 .L68 2009 - Reserve

*Secured Transactions in a Nutshell*

KF1050 .Z9 B34 2007

*Corporate Finance in a Nutshell*

KF1428 .H32 2004

*Investment Adviser Regulation in a Nutshell*

KF1072 .H32 2008 - Reserve